



UT20 Rec'd PCT/PTO 11 MAR 2003 PCT

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q57282

Hiroshi HAGIYA, et al.

Confirmation No.: 2661

Appln. No.: 09/446,634

Group Art Unit: 1642

Filed: December 23, 1999

Examiner: Yu, M.

For: NOVEL PLASMID DNA COMPRISING REPORTER GENE DNA AND USE OF THE SAME

SECOND REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

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Sir:

We enclose a copy of the Official Filing Receipt for the above-identified application and request the following correction(s):

Correct the title from:

NOVEL PLASMID DNA CONTAINING REPORTER GENE DNA AND USE OF THE SAME

to read:

NOVEL PLASMID DNA COMPRISING REPORTER GENE DNA AND USE OF THE SAME

Verification for the requested correction is indicated on the original Declaration and Power of Attorney filed December 23, 1999.

This error was caused by the PTO and therefore no fee is necessary

Respectfully submitted,

Drew Hissong
Registration No. 44,765

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

WASHINGTON OFFICE



23373

PATENT TRADEMARK OFFICE

Date: March 11, 2003

FILING RECEIPT



OC000000004975675

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/446,634 -	12/23/1999 -	1643	1946 -	Q57282 -	4 -	23 -	1

SUGHRUE MION ZINN MACPEAK & SEAS
2100 PENNSYLVANIA AVENUE NW
WASHINGTON, DC 20037

Date Mailed: 02/25/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

HIROSHI HAGIYA, OSAKA, JAPAN ;
MASASHI MINAMI, OSAKA, JAPAN ;
HISAO TAJIMA, OSAKA, JAPAN ;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A 371 OF PCT/JP98/02785 06/23/1998

Foreign Applications

JAPAN 9/171440 06/27/1997

Foreign filing license granted on 02/25/2000

Title

NOVEL PLASMID DNA CONTAINING REPORTER GENE DNA AND USE OF THE SAME

Preliminary Class

435

Data entry by : ORDENEZ, MARTA

Team : OIPE

Date: 02/25/2000



LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

NOVEL PLASMID DNA COMPRISING REPORTER GENE DNA AND USE OF THE SAME

the specification of which is attached hereto unless the following box is checked:

☒ was filed on June 23, 1998 as United States Application Number or PCT International Application Number PCT/JP98/02785 and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information of which is material to the patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

<u>P. Hei. 9-171440</u> (Number)	<u>Japan</u> (Country)	<u>27/June/1997</u> (Day/Month/Year Filed)	<input type="checkbox"/>
<u> </u> (Number)	<u> </u> (Country)	<u> </u> (Day/Month/Year Filed)	<input type="checkbox"/>
<u> </u> (Number)	<u> </u> (Country)	<u> </u> (Day/Month/Year Filed)	<input type="checkbox"/>

I hereby claim the benefits under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

<u> </u> (Application Number)	<u> </u> (Filing Date)
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I hereby claim the benefits under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

<u> </u> (Application Number)	<u> </u> (Filing Date)	<u> </u> (Status - patented, pending, abandoned)
<u> </u> (Application Number)	<u> </u> (Filing Date)	<u> </u> (Status - patented, pending, abandoned)

I hereby appoint John H. Mion, Reg. No. 18,879; Thomas J. Macpeak, Reg. No. 19,292; Robert J. Seas, Jr., Reg. No. 21,092; Darryl Mexic, Reg. No. 23,063; Robert V. Sloan, Reg. No. 22,775; Peter D. Olexy, Reg. No. 24,513; J. Frank Osha, Reg. No. 24,625; Waddell A. Biggart, Reg. No. 24,861; Louis Gubinsky, Reg. No. 24,835; Neil B. Siegel, Reg. No. 25,200; David J. Cushing, Reg. No. 28,703; John R. Inge, Reg. No. 26,916; Joseph J. Ruch, Jr., Reg. No. 26,577; Sheldon I. Landsman, Reg. No. 25,430; Richard C. Turner, Reg. No. 29,710; Howard L. Bernstein, Reg. No. 25,665; Alan J. Kasper, Reg. No. 25,426; Kenneth J. Burchfiel, Reg. No. 31,333; Gordon Kit, Reg. No. 30,764; Susan J. Mack, Reg. No. 30,951; Frank L. Bernstein, Reg. No. 31,484; Mark Boland, Reg. No. 32,197; William H. Mandir, Reg. No. 32,156; Scott M. Daniels, Reg. No. 32,562; Brian W. Hannon, Reg. No. 32,778; Abraham J. Rosner, Reg. No. 33,276; Bruce E. Kramer, Reg. No. 33,725; Paul F. Neils, Reg. No. 33,102; Brett S. Sylvester, Reg. No. 32,765 and Robert M. Masters, Reg. No. 35,603; my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and request that all correspondence about the application be addressed to SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC, 2100 Pennsylvania Avenue, N.W., Washington, D.C. 20037.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date December 10, 1999 First Inventor Hiroshi HAGIYA
First Name Last Name
Residence Osaka, Signature Hiroshi Hagiya
Japan Post Office Address c/o Minase Research Institute, ONO Pharmaceutica
Japan Co., Ltd., 1-1, Sakurai 3-chome, Shimamoto-cho,
Mishima-gun, OSAKA 618-8585 JAPAN

Date December 10, 1999 Second Inventor Masashi MINAMI
First Name Last Name
Residence Osaka, Signature Masashi Minami
Japan Post Office Address c/o Minase Research Institute, ONO Pharmaceutica
Japan Co., Ltd., 1-1, Sakurai 3-chome, Shimamoto-cho,
Mishima-gun, OSAKA 618-8585 JAPAN

Date December 10, 1999

Third Inventor Hisao

TAJIMA

First Name

Last Name

Residence Osaka,

Signature

Hisao

Tajima

Japan

Post Office Address

c/o Minase Research Institute, ONO Pharmaceutical
Co., Ltd., 1-1, Sakurai 3-chome, Shimamoto-cho,
Mishima-gun, OSAKA 618-8585 JAPAN

Citizenship Japan

Date

Fourth Inventor

First Name

Last Name

Residence

Signature

Post Office Address

Citizenship

Date

Fifth Inventor

First Name

Last Name

Residence

Signature

Post Office Address

Citizenship

Date

Sixth Inventor

First Name

Last Name

Residence

Signature

Post Office Address

Citizenship

Date

Seventh Inventor

First Name

Last Name

Residence

Signature

Post Office Address

Citizenship

Date

Eighth Inventor

First Name

Last Name

Residence

Signature

Post Office Address

Citizenship

(Supply similar information for ninth and subsequent joint inventors.)